

## **Construction Contract Administration Member Forum**

Coffee & Construction Contract Administration

EV202 May 14, 2015 8:00 – 9:00 am

AIA National Convention, Atlanta



Presentation Information



The American Institute of Architects

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# **Construction Contract Administration Knowledge Community Advisory Group**

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# Construction Contract Administration Knowledge Community

The **KC** has been established to help our members better understand the issues, actions, and resultant **effects** of the decisions required in this often neglected part of Project Delivery. **Our goal is to provide a venue for sharing knowledge, case studies, and best practices with our community and Institute membership.** We further hope to provide guidance and direction in developing guidelines for new and evolving approaches to Project Delivery, as well as guidance in the continuing education of our emerging young professionals.

# Agenda

- CCA KC Web Pages
- Owners prefer *Buildings* over “Building”
- Construction Phase Dispute – the First Known BIM Lawsuit
- General Conditions – Then & Now



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### Top News

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#### AIA News

**U.S. Army Corps of Engineers Seattle  
Headquarters Awarded with the COTE Top Ten  
Plus**

Posted Apr 28, 2015 by AIA National

AIA President, Elizabeth Chu Richter, FAIA,  
authors this article in The Hill about the ZGF

### Value of an AIA Architect



Hiring an AIA architect could be  
the best decision you'll make.  
*We'll show you why.*





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### Are you in the know?

The AIA National Knowledge Communities launched a social networking website called [AIAKnowledgeNet](#). It's a place for like-minded professionals to meet, discuss, and share information on their common interests.

Join any number of knowledge communities by updating your [AIA Account](#). To encourage cross-disciplinary discussions, enrollment in AIA Knowledge Communities is not limited to AIA members. Non-AIA-members can create an AIA Account now to stay connected.

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### Upcoming Events

#### 2013 David W. Lakamp a/e ProNet Scholarship

Practice Management (AIA/PM)

Deadline: Nov. 29, 2013 — 5PM Eastern

[Apply for Scholarship Now »](#)

#### AIA Housing Awards

Housing Knowledge Community (AIA/HKC)

Deadline: Nov. 22, 2013 — 5PM Eastern

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#### AIA/HUD Secretary's Awards

Housing Knowledge Community (AIA/HKC)

Deadline: Nov. 22, 2013 — 5PM Eastern

[Submit Your Project Now »](#)

#### TAP Building Information Modeling Awards

Technology in Architectural Practice (AIA/TAP)

GIVE  
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The Academy of Architecture for Health (AAH) improves the quality of healthcare through design by developing, documenting, and disseminating knowledge; educating healthcare architects and other related constituencies; advancing the practice of healthcare architecture; improving the design of health care environments; affiliating and advocating with others that share our vision and promoting research.

#### [Academy of Architecture for Justice](#)

The AIA Academy of Architecture for Justice (AAJ) promotes and fosters the exchange of information and knowledge between members, professional organizations, and the public for high-quality planning, design, and delivery of justice architecture.

#### [Committee on Architecture for Education](#)

The American Institute of Architects Committee on Architecture for Education (CAE) connects architects and allied professionals interested in designing, building and using superior educational, cultural, and recreational facilities that meet the needs of students of all ages. AIA-CAE members educate the public about the value of quality educational facility design.

#### [Committee on Design](#)

The Committee on Design (COD) was founded to promote design excellence among members of the AIA, the broader design community, and the public at large, both nationally and internationally. The Committee on Design is led by a volunteer advisory group of eight architects and an adjunct leadership team of 11 additional individuals.

#### [Committee on the Environment](#)

The Committee on the Environment (COTE) works to advance, disseminate, and advocate—to the profession, the building industry, the academy, and the public—design practices that integrate built and natural systems and enhance both the design quality and environmental performance of the built environment. COTE serves as the community and voice on behalf of AIA architects regarding sustainable design.

#### [Construction Contract Administration](#)

The Construction Contract Administration Knowledge Community (CCA) has been established to help our members better understand the issues, actions and resultant impact of the decisions required in this often neglected part of Project Delivery. It is our goal to provide clear answers to issues of concern to the Institute's membership and share case studies and best practices. We further hope to provide guidance and direction in developing guidelines for new and evolving approaches to Project Delivery as well as guidance in the continuing education of our emerging young professionals.

#### [Earn Continuing Education Credit in Your Interest Area](#)

Attend a live online webinar on anything from small firm management to ADA compliance and Universal Design.

#### [Support Through Every Career Stage](#)

To provide a seamless transition from education to practice, the AIA develops and provides support, events and activities for students, Associate AIA members, Young Architects, and Fellows of the AIA.

#### [Recent Updates](#)



##### [Webinar Transcriptions](#)

Webinar transcriptions are now available for high-ranking archived content from the Knowledge Community webinar series archives.

[View the Transcriptions Library »](#)



##### [AIA Knowledge Net Round Up](#)

Explore recent discussions and project updates from the AIA Knowledge Communities' biweekly blog.

[Read the Roundup »](#)



##### [Now Available: Webinar Archives](#)

The library entries below pair the video recording with supplemental

#### Upcoming Events

##### **CCA Forum at the AIA Convention**

Thursday, May 14, 2015, 8:00 AM - 9:00 AM (Eastern Time (US & Canada))  
Atlanta, GA, United States

##### **Achieving Collaborative 'IPD-ish' Delivery with basic D-B, P3 or CM contracts: Yes, there is a way...**

Wednesday, June 10, 2015, 1:00 PM - 2:00 PM (Eastern Time (US & Canada))

[View Calendar](#)

#### Latest Discussions



##### **CORRECTION: Register for the free webinar on March 25th**

By: [Ann Harris](#)

Posted in: [Construction Contract Administration](#)

"Meeting Challenges & Overcoming Obstacles During CCA - Approaches Toward Best Practice" The free CCA webinar will be held on March 25, 2015. A recent email incorrectly listed the date for the previous...



##### **Submit a White Paper**

By: [Ann Harris](#)

Posted in: [Construction Contract Administration](#)

Our ultimate goal is to have every aspect of CCA covered in our library. But we need your help. The 550+ members of the CCA KC represent an enormous body of experience and collective wisdom. The White...

#### Latest Resources

##### **Achieving Collaborative 'IPD-ish' Delivery with...**

By: [Knowledge Communities](#)

##### **Budgeting for the Construction Phase**

By: [William J. Schmalz FAIA, LEED AP, CCCA, Assoc DBIA](#)

##### **Building Information Modeling (BIM) and Constr...**

By: [Yu-Ngok Lo AIA](#)

##### **Collaborative 'IPD-ish' Project Delivery using Design-Build,...**

By: [Dale L. Munhall AIA](#)

##### **Meeting Challenges & Overcoming Obstacles During...**

By: [Ann Harris](#)

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AIA CCA

## AIA CCA

The primary mission of the **Construction Contract Administration (CCA)** Knowledge Community is to create a dialogue among its members and to disseminate knowledge to its members and to the profession. It is Construction Phase Services that offer the last opportunity to accomplish important tasks; tasks that can lead to a lowering of project problems and potential claims. Risk Management should be at the forefront of every practitioners mind. The creation of this Knowledge Community will not only empower greater achievements and control in risk management opportunities, but will increase the effectiveness of professional practice overall.

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## Leadership Group

The CCA Advisory Group is comprised of recognized experts in this arena, bringing skills as Architects, Lawyers, and Constructors. They are prepared to provide support and information to the profession, based upon a broad range of experience from across the country. During the course of the year there will be regular forums and informational postings on this site.

*Chair*

Jane Cameron, AIA  
Perkins + Will Chicago  
Chicago IL

*Past Chair*

Charles Schreckenberger, AIA  
Braun & Steidl Architects Inc.  
Akron, OH

*Members*

Michael Plottel, AIA  
New York City Department of Design and Construction  
New York, NY

Yu-Ngok Lo, AIA  
YNL Architects  
Culver City, CA

Eric O. Pempus AIA  
Oswald Companies  
Rocky River, OH

*Founding Leaders and Advisors*

Burton L. Roslyn, FAIA  
Roslyn Consultants, LLC

William J. Schmalz, FAIA  
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Jim Rains, AIA  
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Discover local events, national conferences, free continuing education webinars and more from the AIA CCA Event Calendar. Local components are invited to send upcoming events for local CCA committees to [CCA@aia.org](mailto:CCA@aia.org).

[View the event calendar »](#)[View upcoming webinars »](#)

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## May 2015

### CCA Forum at the AIA Convention

When: May 14, 8:00 AM - 9:00 AM (ET)

Where: Atlanta, GA, US

Community: Construction Contract Administration

## June 2015

Achieving Collaborative 'IPD-ish' Delivery with basic D-B, P3 or CM contracts: Yes, there is a way...

# **Future CCA Construction Phase Services Webinars**

**One Month Left! Register Today for the  
CCA Webinar**

**“Achieving Collaborative ‘IDP-ish’  
Delivery with Basic D-B, P3 or CM  
Contracts: Yes, There is a Way ...”**

**Wednesday, June 10, 2015 | 1:00 - 2:00  
PM ET | Earn 1.0 AIA HSW LU**

# Future CCA Construction Phase Services Webinars

- Construction Phase Administration - *Where Pitfalls are Cut-Off at the Pass*
- Construction Project Documentation – *“If It’s Not Documented ...”*
- Construction Phase Administration - *Where the Rubber Meets the Road*
- *Shop Drawings, Change Orders & RFI’s* - Construction Phase Services

# CCA KC AIA Convention Half-Day Workshops



**AIA 2012**  
NATIONAL CONVENTION  
AND DESIGN EXPOSITION  
MAY 17-19  
WALTER E. WASHINGTON  
CONVENTION CENTER  
WASHINGTON, D.C.

DESIGN  
CONNECTS

**BIM and Contract Administration:**  
Building the Connection Between Design and Construction

SESSION ID:  
[Wednesday, 16 May 2012, 1:00 pm]

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# CCA KC AIA Convention Half-Day Workshops

The poster features a black header with the text 'BUILDING LEADERS' in white, where the 'I' in 'BUILDING' is a red upward-pointing arrow. To the right of this, it says '2013 AIA Convention June 20-22, Denver'. The AIA logo is in the top right corner. The main body of the poster has a light gray background with a faint grid and a large, stylized mountain range graphic. The workshop title 'BIM and Contract Administration' is in red, with the subtitle 'Architects Leading the Process' below it. At the bottom left, the course number, date, and learning units are listed.

**BUILDING LEADERS** 2013 AIA Convention  
June 20-22, Denver

**AIA**

**BIM and Contract Administration**  
Architects Leading the Process

Course Number WE105  
Wednesday, June 19, 8:00–12:00  
Learning Units: 3.75

# CCA KC AIA Convention Half-Day Workshops

## Adapting Contract Administration to an Ever-Changing Design and Construction Culture

Course Number WE305

Wednesday, June 25, 2014, 1:00–5:00

Learning Units [As Published]

**CHICAGO!**

AIA Convention 2014  
June 26–28, Chicago





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## AIA CCA

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WHITEPAPER LIBRARY

## Whitepaper Library

These papers, written by members of the CCA KC, cover a broad variety of CCA-related topics, and consist of descriptions of current best practices, investigations into changing CCA roles and responsibilities, and opinion pieces on controversial subjects. The White Paper Library offers each of you the opportunity to share your experiences and knowledge with the rest of the architectural profession. We appreciate your help in building our library, and look forward to hearing from many of you.

[Review the CCA Whitepaper Library »](#)
[Contribute a Whitepaper »](#)


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## White Papers

The primary mission of the Construction Contract Administration Knowledge Community is to create a dialogue among its members and to disseminate knowledge to its members and to the profession. This knowledge can be presented in many formats, such as webinars or live presentations, but the format that offers the best opportunities for the KC membership to contribute is our growing library of white papers. These papers, written by members of the CCA KC, cover a broad variety of CCA-related topics, and consist of descriptions of current best practices, investigations into changing CCA roles and responsibilities, and opinion pieces on controversial subjects.

Our ultimate goal is to have every aspect of CCA covered in our library. But we need your help. The 550+ members of the CCA KC represent an enormous body of experience and collective wisdom. The White Paper Library offers each of you the opportunity to share your experiences and knowledge with the rest of the architectural profession. We appreciate your help in building our library, and look forward to hearing from many of you.

### [Review the CCA White Paper Library](#) | [CCA White Paper Submission](#)

We are inviting all of you to consider writing one or more papers for the library. What can you write about? CCA whitepapers fall into the following general topic areas:

- Contract Review
- RFIs
- Submittals
- Instructions
- Field Observations and Reports
- Pay App Review
- Office Functionals
- Close Out
- OAC Meetings and Minutes
- Communications

Some topics have already been covered; the following papers are (or soon will be) posted on our KC website:

2015

Any other CCA-related topics are fair game. All we ask is that you, before starting writing, send us the title of your proposed article, and a short paragraph describing its contents. If more than one paper is proposed on the same topic or similar topics, we may suggest that the two writers collaborate on one paper.

## FAQs

---

**How long should the papers be?** However many words it takes to make your point and cover your topic.

**Will whatever I write be posted?**

Probably, but the KC Advisory Group will act as peer reviewers. If we see something that doesn't make sense, or seems contrary to best practices, we will ask you to clarify.

**I have things to write about, but I'm not a very good writer. Is that a problem?**

Not at all. The AG will perform copy-editing where needed, to help the readability of your text.

**Is there a deadline?**

Nope. Whenever you have something you want to write about, let us know.

## Submit a White Paper

---

[CCA White Paper Submission](#)

CCA White Paper Submission - Windows Internet Explorer

http://form.jotform.us/form/43374432113143

## CCA White Paper Submission

**Full Name \***

First Name Last Name

**E-mail \***

**Abstract \***

Enter the abstract, executive summary, or a brief description of the white paper you are submitting.

**Upload document \***

Browse...

Owners prefer *Buildings*  
over “Building”

# Is CCA a “Necessary Evil”?

Construction is the completion  
of “Design by Other Means.”



CCA is the extension, handoff,  
and conclusion of Design via the  
agency of the trades.

“Good” CCA ensures quality, conformance with the Owner’s requirements, and orderly progression of the work.

**“Bad” CCA precipitates an  
impenetrable and useless thicket  
of documents.**

A Day at the Races 1937 720-HD.mkv.mp4



CCA Member Forum, Atlanta

Good, honest, and transparent  
relations between all parties,  
Architect, Contractor, Owner are  
the cornerstone of effective CCA,  
the rest is paperwork.

# First Known BIM Lawsuit

ENR 2011 – Construction Phase Services



- Insurance settlement related to construction phase services
  - *shows that BIM without communication can be costly*
- A/E team used BIM to fit the MEP systems into ceiling plenum
- Didn't tell contractor of the extremely tight fit
  - *it depended on very specific installation sequence*

# Everybody Sued Everybody Else

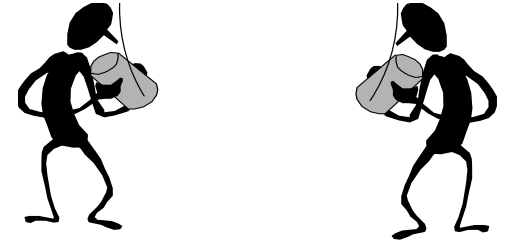
- Contractor ran out of space in plenum
  - *but was about 70% through assembly*
- Everything fit in the model
  - *but not in reality*
- Insurance carrier didn't want trial –
  - *it was too complicated for a jury*
- Significant costs involved





# The Problem

## - Poor Communication



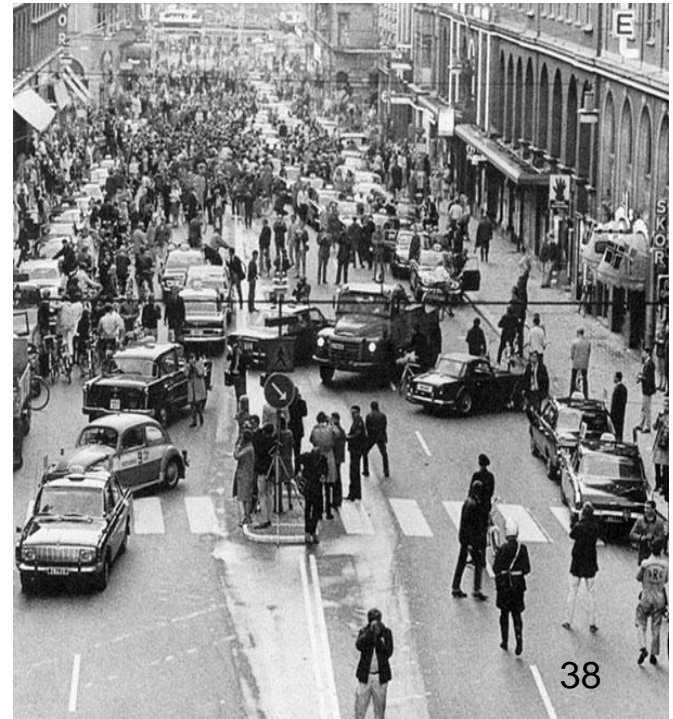
- A/E team never discussed the installation sequence w/ unsophisticated contractor
- Insurers advise A/Es not to get involved in means, methods, safety and sequencing
- But for this project
  - *it was not enough to say "... if it fits, you figure it out"*
- Sometimes you have to pound your fist on the table, sometimes you have to ...

# Communication Breakdown

- Often overlooked in **formal education**, which emphasizes technical skills
  - *the only vehicle to ascertain client needs*
  - *today's fast pace contributes to it*
- A **two-way street**: it's not enough to think you are communicating clearly, you must verify you are understood

*1<sup>st</sup> morning after Sweden changed driving on left to right side, 1967*

CCA Member Forum, Atlanta



# AIA General Conditions (1915)

**Art. 5. Shop Drawings.**—The Contractor shall submit two copies of all shop or setting drawings and schedules required for the work of the various trades and the Architect shall pass upon them with reasonable promptness. The Contractor shall make any corrections required by the Architect, file with him two corrected copies and furnish such copies as may be needed. The Architect's approval of such drawings or schedules shall not relieve the Contractor from responsibility for deviations from drawings or specifications, unless he has in writing called the Architect's attention to such deviations at the time of submission, nor shall it relieve him from responsibility for errors of any sort in shop drawings or schedules.

**Art 6. Drawings and Specifications on the Work.**—The Contractor shall keep one copy of all drawings and specifications on the work, in good order, available to the Architect and to his representatives.

**Art. 7. Ownership of Drawings and Models.**—All drawings, specifications and copies thereof furnished by the Architect are his property. They are not to be used on other work and, with the exception of the signed contract set, are to be returned to him on request, at the completion of the work. All models are the property of the Owner.

# AIA A201 (2007)

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for **deviations from requirements of the Contract Documents** by the Architect's approval of Shop Drawings, Product Data, Samples or similar submittals **unless the Contractor has specifically informed the Architect in writing of such deviation at the time of submittal** and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the Architect's approval thereof.

**Thank You**

**Questions/RFIs?**